

The Public Research Center Henri Tudor focuses on the critical aspects of the Luxembourgish financial sector by offering innovations through its INNOFinance® research programme. Respecting the legal obligations regarding "Anti-Money Laundering" (AML) and "Know Your Customer" (KYC) are two concrete examples of such aspects and INNOFinance® has devoted specific research activities to them.

The Public Research Center Henri Tudor has developed, in close collaboration with the "Institute of Internal Auditors Luxembourg" (IIA) and the "Association Luxembourgeoise des Compliance Officers" (ALCO), a model which stresses the coordination between the different assurance providers in the company (internal auditors, compliance officers, external auditors). These developments were also supported by the "Institut des Réviseurs d'Entreprises" (IRE). The model allows to summarize their observations in a concise, coherent and concise dashboard. This allows, among other things, to better assign responsibilities and tasks as well as communicating clearly on how a judgment was formed to provide conformity assurance.

An executive summary will be provided at the beginning of the conference which will contain an overview of the model and the feedback collected during the testing of the model with compliance officers and internal auditors. Several industry feedbacks will be brought to you and a round table discussion regarding the evolutions in the coordination between audit and compliance professionals to assure KYC/AML obligations.

**Audience:**

Internal and external auditors, compliance officers, consultants

**Partners:**



**Programme:**

4.00pm - Introduction by Anne-Laure Mention, CRP Henri Tudor

4.10pm - Presentation of the model by Dieter De Smet & André Rifaut, CRP Henri Tudor

4.45pm - Industry feedback sessions with Dexia-BIL, Keytrade Luxembourg, Clearpriority and other institutions.

5.30pm - Round Table with Jean-Noël Lequeue (President, ALCO), Guy Queudeville (President, IIA Luxembourg), Vafa Moayed (President of the IRE Bank Working Party), Eric Collard (Partner, KPMG), Carsten Oergel (Compliance Officer, Sal Oppenheim) and other participants.

6.45pm - Cocktail

**Practical details:**

Language: French-English

**Date & Timetable:** the 28th of April 2010 from 4.00 to 6.45.

**Location:** Public Research Centre Henri Tudor | 29, avenue John F. Kennedy | L-1855  
Luxembourg-Kirchberg

**Entrance fee:** EUR 50 VAT excl.

**Registration:** SITec® | tel: +352 42 59 91 1 | fax: +352 42 59 91 777 | [event@tudor.lu](mailto:event@tudor.lu) |  
[www.sitec.lu](http://www.sitec.lu)

Thanks for registering before the 23th April 2010

**Contact:** For further information about the model, please contact Dieter De Smet -  
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**Personal data protection**

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