

WG	Name	Status	Person in charge	Purpose
WG 11	ALCO Website	Active	Marie Grillot marie.grillot@alco.lu	This WG is in charge to increase the quality and access to the information of the ALCO website to members.
WG 16	Communication	Reactivated	Steven Curfs stc@engelwood.eu	This WG is in charge to restore regular ALCO newsletters.
WG 27	Trainings - House of Training	Active	Hervé Ballone herve.ballone@carlyle.com Soraya Guerin s.guerin@eib.org	This WG develops the Compliance training materials within the framework of the partnership between ALCO and IFBL. It ensures that trainings are up to date according to legal and regulatory developments. This WG develops the M1, M2 and M3 exams while renewing them regularly and is in charge of corrections and composition of the jury.
WG 30	Specialized and Auxiliary FSPs	Reactivated	Anne Camille anne.camille@ubt.lu	The purpose of this WG is to discuss/exchange on the implementation of the regulation impacting the specialized and Auxiliary FSPs and to provide tools/guidelines to apply regulatory requirements.
WG 38	Insurance	Reactivated	Marie Grillot marie.grillot@alco.lu	The objective of the WG is to work on various topics related to the insurance industry (new laws and regulations, guidelines, best practices...).
WG 40	Responsibility of the Compliance Officer in management companies	Active	Giovanni Cataldi giovanni.cataldi@alliancebernstein.com Sophie Dupin sophiedupin@ehp.lu	The objective of this WG is to define the Compliance function within Management Companies.
WG 45	Events	Active	Anna Roehrs roo@bourse.lu Marie Grillot marie.grillot@alco.lu	This WG is in charge of organizing events.
	Subgroup - Round tables	Active	Anna Roehrs roo@bourse.lu Xavier Leydier xleydier@orange.fr	The objective of this WG is to select specific themes that ALCO members regularly face and to facilitate the sharing of experiences, questions and ideas in a round table format. Summaries are available to all members via the ALCO website.
WG 46	Compliance Officers of Law Firms	Active	Nathalie Bloch nathalie.bloch@arendt.com	The objective of this WG is to define the Compliance function within Law Firms.
WG 48	Regulations and news updates on the fight against money laundering and the financing of terrorism (including the 6th Directive)	Active	Sylvain Aubry sylvain.aubry@me.com Giovanni Cataldi giovanni.cataldi@alliancebernstein.com Steven Curfs stc@engelwood.eu	The objective of this WG is to monitor the development of regulations around the fight against money laundering and the financing of terrorism (AMU/CTF), and to deal with current issues related to this area. The role of the WG is also (i) to answer members' questions on AMU/CTF and (ii) to get closer to other professional associations concerned by the subject.
	Subgroup 1 - RR/RC	New	Sylvain Aubry sylvain.aubry@me.com Marie Bourlond marie.bourlond@bil.com	The objective of the WG is to establish practical guidelines around the roles and responsibilities of RR and RC for banks and investment funds.
	Subgroup 2 - Digitalization and onboarding	New Save for later	O/S	
	Subgroup 3 - Trade-Based money laundering-TBML	New Save for later	O/S	
	Subgroup 4 - Subsidiaries and branches on a consolidated basis	New Save for later	Sylvain Aubry sylvain.aubry@me.com	
WG 50	The Compliance function of the Transfer Agent and the relationship with the Management Company	Active	Sundhevy Debrand sdebrand@gen2fund.lu Hervé Ballone herve.ballone@carlyle.com	The purpose of this WG is to define the Compliance function of the Transfer Agent, to discuss market practices and the legal/regulatory obligations inherent in this function. This WG is also in charge of setting up a "best practice guide" of the Compliance function of the Transfer Agent, as well as dealing with the relationship between the Transfer Agent and the Management Company.
WG 51	Banks - CCO Forum	Active	Vincent Salzinger vincent.salzinger@credit-suisse.com Bertrand Parfait bparfait@deloitte.lu	The purpose of this WG is to facilitate discussions and exchanges among Chief Compliance Officers, identifying arising risks and trends in the banking industry. A focus is made on last regulatory inspections underlining new expectations. The group is limited in number to ensure trusted discussions.
	Banks - Outsourcing technical forum	Reactivated	Sylvain Aubry sylvain.aubry@me.com	The purpose of the WG is to discuss/comment regulatory changes related outsourcing and to build guidances on the outsourcing process in general covering the following points: - role of the compliance function in the assessment/acceptance/filling of outsourced process ; - compliance monitoring program for oversight on outsourcing (what to look at) ; - good practices KPI/KRI/oversight ; - compliance outsourcing process.
WG 52	Virtual Assets / Crypto	Reactivated	Biba Homsy biba.homsy@homsylegal.com	
WG 54	ESG - Discussion forum	New	Cécile Liégeois	The objective of this WG is to facilitate the sharing of experiences.